

PTO/SB/21 (04-04)

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| Application Number | 09/997,311 |
| Filing Date | November 27, 2001 |
| First Named Inventor | Shay, James R. |
| Art Unit | 2171 |
| Examiner Name | Santos, Patrick J.D. |
| Attorney Docket Number | 021737-001410US |

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard, copies of one hundred and five (105) cited references |
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| Firm or Individual name | Townsend and Townsend and Crew LLP Chad E. King | Reg. No. 44,187 |
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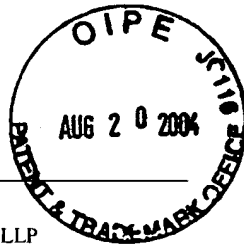
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| Typed or printed name | Nicole Wartell |
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On August 18, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole Wartell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JAMES R. SHAY et al.

Application No.: 09/997,311

Filed: November 27, 2001

For: COMPUTER IMPLEMENTED
METHOD FOR CONTROLLING
DOCUMENT EDITS

Examiner: Santos, Patrick J.D.

Art Unit: 2171

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

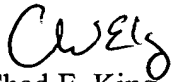
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Respectfully submitted,


Chad E. King
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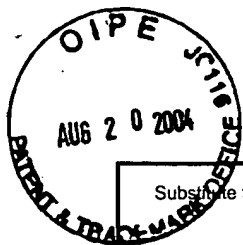
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
CEK:nmb
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Complete if Known | |
| | | Application Number | 09/997,311 |
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| | | First Named Inventor | Shay, James R. |
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| Examiner Name | Santos, Patrick J.D. | | |
| Sheet 1 of 5 | Attorney Docket Number | | 021737-001410US |

| U.S. PATENT DOCUMENTS+ | | | | | |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number Kind Code ² (if known) | | | |
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| Examiner Signature | | | Date Considered | | |

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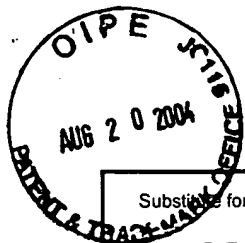
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| | | Examiner Name | Santos, Patrick J.D. |
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|------------------------|-----------------------|---|--------------------------------|--|---|
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|--------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|--|--------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | B1 | PCT | WO 98/26343 | A2 | 06-18-1998 | | | <input type="checkbox"/> |
| | B2 | PCT | WO 02/05150 | A2 | 01-17-2002 | | | <input type="checkbox"/> |
| | B3 | PCT | WO 01/24035 | A2 | 04-05-2001 | | | <input type="checkbox"/> |
| | B4 | PCT | WO 02/37304 | A2 | 05-10-2002 | | | <input type="checkbox"/> |
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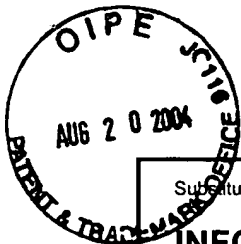
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| | | | Attorney Docket Number | 021737-001410US | |
| Sheet | 3 | of | 5 | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | C1 | Computer Patent Annuities Limited Partnership, "CPA Renewal Services" Retrieved from the Internet <http://www.cpajersey.com/cpajersey/patent1.html on 09/04/2002 | |
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| | C13 | CPI Computer Packages Inc., "What's New" [retrieved on 2001-09-11]. Retrieved from the Internet: <URL: http://www.computerpackages.com/whatsnew.asp> 2 pages total. | |
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| | C16 | Intuit "TurboTax Premier for Tax Year 2001" [retrieved on 2001-07-11]. Retrieved from the Internet: <URL: http://www.shopintuit.com/qstore/ProdInfo.asp?BaseCode=TTPRW2001&> 6 pages total. | |

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| | C18 | IPSearchEngine.com [retrieved on 2001-10-11]. Retrieved from the Internet: <URL: ">http://www.ipsearchengine.com/index.asp?> 17 pages total. | |
| | C19 | IRS "Electronic Services Approved IRS e-file for Business Providers" [retrieved on 2001-07-11]. Retrieved from the Internet: <URL: http://www.irs.gov/plain/elect_svs/efile-abp.html > 3 pages total. | |
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| | C25 | LegalStar® Product Solicitation/Brochure entitled "IP Legal Dock Pro Document Management Software for IP Law" ©1999, LegalStar®, P.O. Box 415, Williamsville, NY 14231-0415, 5 pages total | |
| | C26 | Patent Information Services of Computer Packages Inc. [retrieved on 2001-09-11]. Retrieved from the Internet: <URL: http://www.patentinfoserv.com/ > 1 page total. | |
| | C27 | PatentWizard Inc. "About PatentWizard" [retrieved on 2001-24-10]. Retrieved from the Internet: <URL: http://www.patentwizard.com/htmls/about.htm > 6 pages total. | |
| | C28 | PatentWizard, Inc. [retrieved on 2001-24-10]. Retrieved from the Internet: <URL: http://www.patentwizard.com/ > 3 pages total. | |
| | C29 | PCT Easy "FAQ" [retrieved on 2001-05-11]. Retrieved from the Internet: <URL: http://pcteasy.wipo.int/en/help/faq.htm > 7 pages total. | |
| | C30 | PerfectLaw Software "About the Company" [retrieved on 2001-05-11]. Retrieved from the Internet: <URL: http://www.perfectlaw.com/about.htm > 2 pages total. | |
| | C31 | PerfectLaw Software "Back Office Software" [retrieved on 2001-05-11]. Retrieved from the Internet: <URL: http://www.perfectlaw.com/Backoff.htm > 1 pages total. | |
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| | C34 | PerfectLaw Software "Case & Matter Management Documents Processing" [retrieved on 2001-05-11]. Retrieved from the Internet: <URL: http://www.perfectlaw.com/docprocessing.htm > 1 page total. | |
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| | C38 | PerfectLaw Software "Scheduling and Time Management Reminders and Alarms" [retrieved on 2001-05-11]. Retrieved from the Internet: <URL: http://www.perfectlaw.com/reminders.htm > 3 pages total. | |
| | C39 | United States Patent and Trademark Office, U.S. Patent Application Specification Authoring Guide for WordPerfect XML Template, Washington, DC, December 14, 1999, pp. 1-65. | |
| | C40 | United States Patent and Trademark Office, EFS Electronic Filing System "Submission Manual ePAVE" Retrieved from the Internet: <URL: http://www.uspto.gov/ > on 2001-09-11, 157 pages total. | |
| | C41 | | |

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| Examiner Signature | | Date Considered | |
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